# ISSA Proceedings 1998 - From Topos To Locus To Topos: Between Aristotle And Ducrot



You may know - or you may not know - that the basic thesis of Ducrot's theory of argumentation in the language-system (TAL) is that certain argumentative features are inherent to the language *as a system*. That means that language as a system, as an abstract, general structure (as defined by de Saussure), in itself possesses

or contains some argumentative potential, some argumentative force and certain argumentative orientations, and not only language in action, its use in discourse and as a discourse. For example, there are certain *language* structures that (restrictively) impose certain argumentative orientation on the *discourse*, or in other words, language as an abstract system (at least partly) controls what discourse can say, and sets its limits. If that sounds too obvious (language controling what discourse can say), let me illustrate what I mean with a few examples. Suppose someone says to us (one of Ducrot's favourite examples)

(1) It is 8 o'clock.

Is this an argument? Why would anybody be telling us that it is 8 o'clock? Just to let us know what time it is? Not likely, unless we wanted to know what time it was. But suppose we didn't want to know what time it was, suppose somebody just said to us (1). Why would anybody want to do that? Obviously, because he or she, by saying (1), wanted to tell us something else. But, what possible followup(s), what possible conclusion(s) could such an utterance lead to? In a situation where we don't know what the exact co(n)text is, there are many possibilities:

(1a) It is 8 o'clock Hurry up!Take your time!Turn on the radio!Go brush your teeth!

Now, let us see what happens if we introduce two modifiers to (1), already and only respectively, as in

(1') It is already 8 o'clock

and

(1") It is only 8 o'clock..

All things equal, from (1') we can no longer conclude, "Take your time" (as we could from (1)), but only, "Hurry up"; on the other hand, from (1'') we can no longer conclude, "Hurry up", but only, "Take your time". And why is that supposed to be so surprising? Because (1), (1'), and (1'') refer to the very same (chronological) fact, namely, that it is 8 o'clock: while (1) allows a multitude of conclusions, (1') only allows conclusions oriented in the direction of lateness, and (1'') the conclusions oriented in the direction of earliness. How is that possible if (1), (1') and (1'') refer to the same chronological fact, if the basis of (1), (1'), and (1'') is the same state of affairs? Well, this "same state of affairs" is viewed from different angles: in one case, (1'), 8 o'clock is viewed (and represented) as late, in the other, (1''), 8 o'clock is viewed (and represented) as early.

What makes this *differentiation* of the *same* state of affairs possible is simply the introduction of two language particles, in our case, two adverbs.

Only words have the power to differentiate reality from the "facts", only words can make the sameness different. In example (1'), *already* orients our conclusion toward lateness, no matter what time of day is mentioned after *already*; and in (1''), *only* orients our conclusion toward earliness, no matter what time of day *only* is introducing. In other words, the argumentative orientations toward lateness and earliness respectively are inherent to – are *written into* – those two lexical units of the language-system.

In late 70s and early 80s, Ducrot's argumentation theory was mainly concerned with language particles (something that some American linguists are trying to reinvent in the 90s) as mediators or vehicles of argumentative orientation. In late 80s and 90s Ducrot's interest turned to topoi. He is using an Aristotelian term, and he thinks he is more or less faithful to his idea, though he admits he deformed it a little. The aim of this paper is to shed some light on this "deformation".

It is today almost a commonplace (a topos of its own) that for Aristotle a topos is a place to look for arguments, a heading or department where a number of

rhetorical arguments (of the same kind) can be easily found, ready for use. According to Aristotle, topoi are supposed to be of two kinds: general or common topoi, appropriate for use everywhere and anywhere, regardless of situation, and specific topoi, in their applicability limited to different sciences, fields of knowledge, expertise, opinion, situation, etc. Or, as Aristotle (1926/1991: 1.ii 22) puts it:

"By specific topics I mean the propositions peculiar to each class of things, by universal those common to all alike".

In works on Aristotle (on his theory of rhetoric), there seems to be no unique classification of general topoi, or a consensus how such a classification should look like; what is more or less certain, and agreed upon is that topoi deal with three basic topics (sic!), common to the three kinds of rhetoric:

- 1. more or less (of something),
- 2. possible or impossible, and
- 3. what did happen and what did not.

And, as Aristotle says (1926/1991: 1. ii 21), "those topics will not make a man practically wise about any particular class of things, because they do not deal with any particular subject matter".

With Romans topoi became loci, and Cicero literally defines them as places, as "the home of all proofs" (1942/1998, 2. xxxviii. 162), "pigeonholes (this "pigeonholes" are product of translators licentia poetica) in which arguments are stored" (1942/1992: ii. 5) or simply "storehouses of arguments" (1942/1992: xxxi. 109). Only with Quintilian (1921/1953: 5. x. 23 sq) do we get some "directions for use" as to how to extract arguments from those places, namely the famous net quis?, quid?, cur?, ubi?, quando?, quomodo?, quibus auxiliis?

For the Ancients, the topoi or loci were therefore places that hid ready-made arguments, but strangely enough, nobody devoted much time or space to the architecture of those places: where those arguments were hidden, how they got there, and why. Topoi were considered as a kind of heuristic devices, something a well-educated person knew how to use, while little people, obviously, didn't have any need for.

For the New Rhetoric (Perelman 1958/1983: 113) – in this short overview, I'll have to skip almost 2000 years of (mostly) degeneration of rhetoric – topoi aren't places that hide arguments any more, but very general premises that help us build values and hierarchies, something Perelman was especially concerned

about. But even Perelman left topoi on a somewhat descriptive level, and didn't go into the technology of their functioning or their architectural design.

Strangely enough, the same year that Perelman and Olbrechts-Tyteca published their New Rhetoric, Stephen Toulmin published his Uses of Argument, probably the most detailed study of how topoi work. I say "strangely enough" because he doesn't use the term topos or topoi, but somehow judicial term "warrant". The reason for that seems obvious: he is trying to cover different "fields of argument", and not all fields of argument use topoi as their argumentative principles or bases of their argumentation. According to Toulmin (1958/1995: 94-107), if we have an utterance of the form, "If D then C" – where D stands for data or facts, and C for claim or conclusion – than warrant would act as a bridge and authorise the step from D to C. But then, a warrant may have a limited applicability, so Toulmin introduces qualifiers Q, indicating the strength conferred by the warrant, and conditions of rebuttal R, indicating circumstances in which the general authority of the warrant would have to be set aside. And finally, in case the warrant is challenged in any way, we need some backing. As Toulmin (1958/1995: 105) puts it:

"... Statements of warrants [...] are hypothetical, bridge-like statements, but the backing for warrants can be expressed in the form of categorical statements of fact."

What about Ducrot, how does he define a topos? He defines it as a principle (or, as some of his followers say, "a messier"), that ensures the validity or the legitimacy of the move from utterance A(rgument) to utterance C(onclusion). Let's take Ducrot's another favourite example

(2) It is warm (A). Let's go for a walk (C).

Topos is supposed to relate two properties here: a first property P (warmth), connected with the argument A, and a second property Q (pleasantness of a walk), connected with the conclusion C.

And what are the characteristics of topos, this tacit, unspoken principle, which is to be found in the background of argumentative discourse-segments? Ducrot's claim is that it has three characteristics: first, it is *general*; second, it is represented as a *shared belief*, that is, a belief that is common to a certain group of people; and third, it is *scalar*. Topos, ensuring the validity of the move from A to C in (2) could therefore read

(3) T= More it is worm, more it is pleasant to go for a walk

I said "could read" because topoi are no self-subsistent, independent entities per se, like platonian ideas, but should always be reconstructed from a given argumentative string.

And how is the generality of the topos to be understood? It is to be understood that topos is a very *general structure* or *matrix*, allowing a multitude of *particular conclusions*, which are *not obligatory or binding* in a way, for example, syllogism is (which of course means that topos is not universal). A topos (i.e. summoning a topos or evoking it or using it) can *allow* some conclusion, but it does not necessarily *bind* to that conclusion or in other words: if we accept the argument, we aren't obliged to accept the conclusion as well. For example, in response to (2), which is an invitation for a walk, we could easily say as

(2') It is warm. But let's go for a swim instead.

(T= More it is warm, more it is pleasant to get some refreshment in the water)

or

 $(2^{\prime\prime})$  It is warm. But let's go better play cards in the shade.

(T= More it is warm, more it pleasant to be in the shade).

Which means that in both cases our addressee recognised the validity of the topos used in our conclusion, without actually agreeing with it in that particular situation. He/she found some other topos more appropriate to the situation and used it to support a different conclusion instead.

When we say that topos is *general, not universal,* we also admit that there might be exceptions to it, but that does not prevent the topos from being valid, which is exactly the point the famous formula attributed to Aristotle makes: "exceptions make it possible to uphold the rule in unforeseen cases"; in such cases, the notion of exception makes it possible to uphold the validity of the rule nevertheless.

How can we prove the general character of the topos? Well, once again we have to consider the refutations of an argument: very often those refutations take into account the generality of the topos. Let us suppose (once again) that it is warm, and that I am using that (once again) as an argument for suggesting a walk. You can object: "It was also warm yesterday and yet it was an unpleasant walk". That means that you are pointing out that there are exceptions to the rule, which I have used, and in saying that, you are suggesting that perhaps I shouldn't use that rule for that particular case. But by pointing out that there are exceptions, you recognise that the rule which I have used is a general rule, and at the same time, you are telling me that maybe – according to what you think – I wasn't in position to use that rule in my particular situation. You do not deny its generality of the rule at all, you are simply showing me that there are exceptions to it and you are suggesting that we may be in one of those exceptional cases.

We also said the topos is represented as a shared belief, a belief that has been accepted beforehand by a community which the locutor and the allocutor (or addressee) belong to. In other words, representing topos as a shared belief means that some community (be it a nation or a small subcultural group) recognises its validity, i.e. validity and justifiability of the conclusions based on it. But, as we have already seen, that doesn't imply that every member of the community would necessarily use the same topoi in identical situations: the use of some topos, or a conclusion allowed by this topos, can always be refuted by another (generally accepted) topos.

And finally, when we say that the topos is scalar, we are saying two things. First, properties P and Q themselves are scalar. That is to say, that they are properties, which you can have more or less of. Predicates P and Q, whom a topos connects, must therefore be considered as scales. Second, there are different degrees of intensity in the possession of characteristic P and in the possession of characteristic Q. But that does not at all mean that the arguments and the conclusions themselves are scalar. The properties used or mentioned within the topos are scalar, but not the propositions used in discourse as actual arguments or conclusions; they already represent or take as starting point a certain degree on the two scales. Let's have a look at the following example (I'm deliberately taking all the examples from Ducrot's last book Slovenian lectures (1996)):

(4) "It's less than ten degrees, take a coat with you".

There is no doubt that neither A nor C is scalar: it cannot be more or less ten degrees; it either is or it isn't ten degrees. And you cannot more or less take a coat; you either take it or you don't. So, the indications contained in A and in C are not scalar ones. But that does not prevent the topos, which is the warrant for that string, from being describable in scalar terms. The topos here is

(5) T = The colder it is, the warmer you must dress

and it relates one property P, which is the cold, and another property Q, which is, say, garment warmth. The indications contained in discourse segments A and C, "It's less than ten degrees", and, "Take a coat with you", represent degrees within those general properties P and Q, and you will, I'm sure, agree that it can be more or less cold, and that we can wear more or less warm clothes.

There is one other idea about the scalarity of the topos that Ducrot devotes special attention to. The idea is that the relationship which a topos establishes between P and Q is itself scalar. We have already seen that P and Q are scales (it can be more or less cold, we can dress more or less warmly): a topos indicates that there is a scalar relationship between the degrees of property P and the degrees of property Q. Which means that going along the scale of property P in a certain direction also means going along the scale of property Q in a certain direction: if you move up or down one scale, you move up or down the other.

Let us go back to the example (4) for a moment. Suppose it is not less than 10 degrees, but say around 20 degrees. In such a situation one wouldn't say, "It's less than 10 degrees. Take a coat", but rather, "It's around 20 degrees. Don't take a coat", while the topos used would still be the same, maybe just in another form. Which brings us to a yet new idea: the distinction between topos and topical form, a distinction that is closely related to the notion of scalarity

Once more, let's take a topos relating property P and property Q in a scalar way. We have already seen that when we move along the scale P in one direction, we also move along the scale Q in one direction: when we go up P, we go up Q. It is not difficult to notice that saying: "The more you go up P, the more you go up Q", amounts to the same thing as saying: "The more you go down P, the more you go down Q". If, the more you go up the warmth scale, the more you go up the pleasantness scale, it must be the case that, the more you go down the warmth scale, the more you go down the pleasantness scale. So that the same topos, which relates warmth (P) and pleasantness (Q) in a scalar way, can have two forms, which Ducrot symbolises as

(6) +P, +Q -P, -Q.

Those are the two topical forms, FP' and FP'', of the same topos T. The same

relationship between warmth and pleasantness can be considered under two forms, positively in one case and negatively in the other. And there is more to that. Consider the following topical forms (where P still stands for warmth, and Q for pleasantness):

Those forms would read, "More it is warm, less it pleasant to go for a walk", and, "Less it is warm, more it is pleasant to go for a walk". And we have to admit that in different times, and different situations in our lives (often it is pretty difficult to say exactly when and why) we use both pairs of topical forms, (6) and (7): the former, according to which it is pleasant when it is warm, and the latter, according to which it is not pleasant when it is warm.

At first, Ducrot was using topoi only in that sense, as warrants (in Toulmin's words) that enable/authorise the passage from the utterance-argument to the utterance-conclusion. For instance, if we take the example (4) again, topos authorising the passage from A to C would be something like (5): "The colder it is, warmer you must dress". The problem was that topoi had to be reconstructed from the given argumentative strings, which made them look pretty arbitrary. But then Ducrot noticed that they are or that they can be much more than that, that they are in fact discourse fragments contained (written) in (at least some) words of the language-system. Let us take a look at the following four adjectives (I borrow them from Ducrot (1996) as well):

(8) courageous, timorous, prudent, rash.

You will have no problem noticing that in a way those four adjectives belong to a single category, and that they describe the same kind(s) of conduct (or, to be more exact, two related kinds of conduct), but viewed in different ways. Ducrot would say that in the language-system itself, we have two topoi, T1 and T2, for every situation (as we have already seen with warmth and pleasantness): in our present case (8), topos T1 ascribes value to the fact of confronting danger, to the fact of taking risks, and it does so by relating the notion of risk and the notion of goodness. Topos T2, on the contrary, relates the notion of risk and the notion of evil (badness). Therefore, in one case, the fact of taking risks is viewed as

something good, in the other, as something evil, and at different times, depending mostly on what our discursive intentions are, we represent risk as worth taking and we have consideration for the person who takes it, and at others, on the contrary, we represent the fact of taking risks as something bad.

It is not difficult to see how those four adjectives might be classified: two of them implement topos T1, and the other two, topos T2. Which ones? *Courageous* implements topos T1: when one says that someone is courageous, one is attributing some positive value to him, and one is attributing some positive value to him because he dares to take risks; what we have in the adjective courageous is a positive valorisation of risk-taking. In the case of the adjective *timorous*, the topos used is still topos T1, the topos that values risk-taking positively, but when we say that someone is timorous, and we are attributing some negative value to him. We are attributing some negative value to him because he does not dare take a risk, which implies that risk-taking is good, at least in certain circumstances. *Courageous* and *timorous* are therefore based on the same topos T1, but *courageous* is used to praise those who dare take risks, and *timorous* is used to criticise those who do not manage to do so.

What about the two remaining adjectives: *prudent* and *rash*? They too implement the same topos, this time topos T2, a topos that depreciates risk-taking. When we say that someone is prudent, except if we do so ironically, we ascribe a certain quality to that person, and we praise him because he can keep away from risks: in that way, we consider risk-taking as bad. In the case of rash, the topos used is the same again, T2. But this time, when we describe someone as being rash, we are criticising him, we are blaming him for taking risks in an unacceptable and unjustified way. We are blaming him for not implementing topos T2, just as we are congratulating the prudent person for implementing it.

We can further distinguish *courageous* and *timorous* on the one hand, and prudent and rash on the other by making subdivisions within each of those two groups. To obtain those subgroups, we'll have to bring in the topical forms. As far as topos T1 is concerned, we have two topical forms: FT1' and FT1''; and similarly, as far as T2 is concerned, we have FT2' and FT2''. FT1' will be something like, "The more one takes risks (+R), the worthier one is (+V)", and FT1'' will be the converse of the first topical form, that is, "The less one takes risks (-R), the less one is doing what one should (-V)". Now that we have distinguished those two forms, we can distinguish *courageous* and *timorous*, which both refer to that topos. We will say that *courageous* implements the topical form FT1', "The more one takes risks, the worthier one is", and timorous

the topical form FT1", "The less one takes risks, the less worthy one is".

The same can be done with the two adjectives involving topos T2, which depreciate risk-taking: FT2' ("The greater the risk, the greater the evil") and on the other hand, FT2'' ("The lesser the risk, the lesser the evil"), which are implemented by the two adjectives *prudent* and *rash*.

So, according to Ducrot, we would get the following scheme:

(9) T1 +P, +Q (more risk, more good) *courageous* -P, -Q (less risk, less good) *timorous* 

T2

+P, +Q (more risk, more evil) *rash* -P, -Q (less risk, less evil) *prudent* 

But there is another, better, even more Aristotelian way of representing T2. Namely

(10)
T2
+P, -Q (more risk, less good) rash
-P, +Q (less risk, more good) prudent

And why is that way of representing topical forms better? Two reasons, mainly. The first one is methodological and the second one epistemological. Let me explain what I mean, using another group of four adjectives (needless to say I borrowed them from Ducrot as well): *generous, avaricious, thrifty, spendthrift*. According to Ducrot we would get the following scheme:

(11)

T1 (More money you give away, better it is) +P, +Q (More money, more good) *generous* -P, -Q (Less money, less good) *avaricious* 

T2 (More money you give away, worse it is) +P, +Q (More money, more evil) *thrifty* -P, -Q (Less money, less evil) *spendthrift*  But reformulating T2 as

(12)
T2
+P, -Q (More money, less good) *thrifty*-P, +Q (Less money, more good) *spendthrift*

is theoretically more appropriate because it uses the same predicates and the same description for the same variable ("good" for Q) as T1 (with which it compares); it allows us to group different topical forms not only in relation to *how* they describe, but *what* they describe. Namely (if we go back to the first four adjectives)

(13)
+P, +Q (more risk, more good) *courageous*+P, -Q (more risk, less good) *rash*for risk-taking, and

```
-P, -Q (less risk, less good) timorous-P, +Q (less risk, more good) prudent for risk-avoiding.
```

Why is that important? Because it lets us see that there are the same extralinguistic entities that language views as complete oppositions. To the extent that it even coined different expressions for them: courageous and rash for risk-taking and timorous and prudent for risk avoiding.

Obviously, *courageous*, *rash*, *timorous* and *prudent* are complex or compound predicates (or to put it more modestly, adjectives), consisting of a description of some extra-linguistic entity (I would like to avoid saying "fact", because I'm not really sure what a fact is) + its evaluation. We could hardly say the same, for example, for "good" or "bad"; in fact, I think they could be described as the building stones of those complex predicates, the pure evaluation.

But then, is it really the same extra-linguistic entities that the language views differently? When we say that someone is courageous, aren't we saying that he is taking risks, and that *we* approve of it, while, on the other hand, we label someone as rash when we want to say that he is taking risks, and that *we* don't approve of it? And, on the other hand, don't we say that someone is prudent if we want to say that he is avoiding risks, and that *we* approve of it, while we label

someone as timorous when we want to say that he is avoiding even reasonable and justified risks, and that we blame him for that? If so, are those extra-linguistic entities really the same? And if they are really extra-linguistic, how can we say at all they are the same?

### REFERENCES

Aristotle (1926/1991). Art of Rhetoric. Cambridge, London: Harvard University Press (Loeb Classical Library).

Cicero (1942/1988). *De oratore*. Cambridge, London: Harvard University Press (Loeb Classical Library).

Cicero (1942/1992). *De partitione oratoria*. Cambridge, London: Harvard University Press (Loeb Classical Library).

Ducrot, O. (1996). Slovenian lectures/ Conférences slovènes. Ljubljana: ISH.

Perelman, Ch. & L. Olbrechts-Tyteca (1958/1983). *Traité de l'argumentation – La nouvelle rhétorique*. Bruxelles: Editions de l'Université de Bruxelles.

Quintilian (1921/1953). *Institutio oratoria*. Cambridge, London: Harvard University Press (Loeb Classical Library).

Toulmin, S.E. (1958/1995). *The Uses of Argument.* Cambridge: Cambridge University Press.

# ISSA Proceedings 1998 -Linguistically Sound Arguments



The centuries-long discussion as to what constitutes "good" argument has often found supporters and opponents on the basis of the standards selected to evaluate argument. Ancient standards of technical validity have been the subject of some twentieth-century scrutiny. No issue is more fundamental to the study of

argumentation than the question of what constitutes good argument. Our legitimacy as critics, practitioners and teachers of argumentation rests upon our ability to evaluate, construct and describe good arguments. Historically, argument scholars have relied primarily upon formal standards borrowed from the field of logic to provide necessary evaluative criteria. In the latter half of this century, however, those criteria have increasingly been attacked as being inappropriate or, at least, insufficient for the study of both public and personal argumentative discourse. Stephen Toulmin has suggested we replace the mathematical model of argument with one from jurisprudence, thus focusing on the soundness of the claims we make, especially as we use argument in "garden variety discourse."(Toulmin, 1958). Other theorists quickly followed Toulmin's lead.

## 1. Recent Interpretations of Good Argument

While a few theorists (Willard, 1979) have gone so far as to reject logical standards, most others continue to recognize their usefulness as a part of broader schemas for evaluation of argument. Toulmin's dissatisfaction with the rigidity and formalism of logic led him to propose a more open and flexible model of argument and to suggest that the evaluation of arguments involves the application of both traditional field invariant standards and previously overlooked field specific standards (Toulmin, 1958). Perelman and Olbrechts-Tyteca have advanced the concept of the universal audience composed of critical listeners, which presumably restrains advocates from making spurious arguments. At the same time, they suggest we consider adherence as the goal of argument, a focus on the intersection of psychological effects and logical strength (Perelman and Olbrechts-Tyteca, 1969). Drawing on the work of earlier scholars, McKerrow describes a good argument as one which provides "pragmatic justification (McKerrow, 1977). This interpretation places emphasis on the "rational perusal of arguments" by an audience in a dialectic-like relationship. Farrell interprets validity in terms of "soundness" of a rhetorical argument. An argument is sound if it conforms to three conditions:

- 1. is addressed to an empowered and involved audience,
- 2. conforms to the consensual standards of the specific field, and
- 3. is consistent with social knowledge (Farrell, 1977).

Zarefsky defines good argument as one that is "reasonable," and one is reasonable if "the form of inference is free of obvious defects, and the underlying assumptions of the argument are shared by the audience" (Zarefsky,1981:88).

Collectively, these authors and others suggest that good arguments are ones that have, at least, some claim to rationality and are based upon premises and

standards acceptable to the specific audiences being addressed. While these conditions serve as minimal standards for good argument, they are, in our judgment, incomplete and lacking in explanatory power. What is missing from current analyses is a consideration of the role of language. Careful language usage is necessary for the construction of sound arguments, and effective language is the key to persuasive argumentation. We define a good argument as one that is *linguistically sound*. The term "linguistically sound" is intended to encompass three conditions. A linguistically sound argument:

1. conforms to the traditional field invariant standards of inductive and deductive argument,

2. is based upon data appropriate to the audience and field, and

3. is expressed in language that enhances the evocative and ethical force of argument.

In the sections that follow, we will demonstrate how each of these conditions is linguistically based and how a linguistic perspective helps to explain the strength of the argument.

#### 2. Field Invariant Standards

Even a cursory examination of argument suggests a close relationship between language and argument. It is through language that we describe relationships and create meaning about the world around us. Concepts such as correlation and causation allow us to perceive relationships differently than was possible before we had appropriated these methodological terms. We may have an intuitive sense of justice and love, but our ability to differentiate them occurs through language. Thus, language is the means by which we bridge the gap between the complex and confusing world of our senses and a more ordered world of meaning.

In his thoughtful essay, "Argument as Linguistic Opportunity," Balthrop examines argument from a linguistic perspective and establishes a strong relationship between language and discurive reasoning. Discursive reasoning itself arises in discourse and shares its characteristics: that is, it posits relations both syntactically and semantically and through the fundamental representativeness of linguistic symbols. Second, discursive reasoning is sequential – for without sequence, verbal expression cannot exist. It is from such insights that Langer observed in *Philosophy in a New Key*, "the laws of reasoning, our clearest formulation of exact knowledge, are sometimes known as 'laws of discursive thought." If the symbolic function of argument is reason-giving or presenting justification, then that function is accomplished through discursive means – for reason giving requires analysis beyond mere expression. And, in the practical world of both the naive and the more sophisticated social actor, such analysis is usually conducted linguistically (Balthrop, 1980: 190).

Thus language becomes the key to discursive reasoning, and is central to the whole activity of reason giving. Balthrop goes further to argue that linguistic forms reflect how people think – at least at the deep structure level. He continues: The subject-predicate structure for human thought may, in fact, be universal. Langer concludes that "to all speakers of Indo-European languages the classical syllogism seems to be a logic of 'natural inference,' because they speak and think in subjectpredicate forms." Izutsu goes one step further contending that "far from being a peculiarity of Western thought /predicatesubject thought/ seems to be normal and universal wherever the human mind has attained a certain level of logical thinking as far, at least, as it is carried on by means of verbal symbols" (1980:195).

An understanding of the relationship between language and argument is important because it explains why the traditional field in-variant standards of inductive and deductive argument reveal potential problems in the thinking process. Even if the traditional standards are not a perfect reflection of the ways in which experience, language, and thought are related, no one has yet provided more useful tests. Although some may argue that Toulmin's concept of field dependent standards makes traditional invariant standards irrelevant, it is well to remember that Toulmin, himself, did not propose field variant as a substitute for field invariant standards. Moreover, research to date has tended to reveal differences among fields only in the *importance* assigned to particular forms and standards of argument rather than in the forms and standards themselves. Perelman and Olbrechts-Tyteca's concept of a universal audience is too abstract to be of much practical use for either the construction or criticism of arguments. And even Fisher's concepts of narrative probability and narrative fidelity are only more generalized, and therefore, less analytical, forms of the traditional standards for evaluating arguments.

Thus, the field invariant standards of argument are an important component of a linguistically sound argument. They are grounded in our language and thought structures; they are supported by historic experience, and alternative standards seem to be insufficient. As Zarefsky concludes, reliance on these standards "in the past has led to satisfactory results far more often than not" (Zarefsky, 1980:88).

#### 3. Data Appropriate to the Audience

The second condition for a linguistically sound argument is that the data must be appropriate to the audience and field. The audience has always been central to rhetorical theory so that Toulmin's concept of field invariant standards of argument has been readily embraced by rhetorical scholars. Much of the literature of both classical rhetorical theory and contemporary field theory emphasizes the need for advocates to build their arguments on premises that are shared by their audiences. Bitzer's "revisitation" of the enthymeme grounds his analysis in what the rhetor shares with his or her audience (Bitzer, 1959). So much importance is placed on shared assumptions that it sometimes appears that audiences can only be addressed on subjects they already believe in. What is often not discussed, however, is how an advocate can proceed if her basic assumptions differ from those of her audience. An examination of the role of language in argument is helpful in this regard.

Language can be used to create a greater harmony of beliefs than might otherwise exist. The ambiguous nature of values and the abstract language used to identify them make it possible to minimize differences and maximize agreement through careful conceptual choices. Kenneth Burke's description of how dialectical terms (terms of opposition) may become transcendent (or terms of union, god terms) is a good illustration of this process (Burke, 1945). In recent years, politicians have regularly assumed that they and their audiences share a comon commitment to equal opportunity. Although most American audiences probably believe in equal opportunity at some level, such a belief does not translate into a common commitment to affirmative action; nor is a belief in affirmative action the same thing as a belief in racial and gender quotas. Thus, the ability to identify a common assumption and to link that assumption to an audience may depend in large part in the language of identification employed.

Not only are our beliefs abstract, but our belief systems encompass many different assumptions that exist in some loose hierarchy of values. This multiple, hierarchical nature of premises provides an additional opportunity for using language to establish a common ground. A linguistic bridge that embraces multiple beliefs can sometimes create a common ground out of conflicting assumptions. President Kennedy's concept of a Peace Corps created such a linguistic bridge. The Peace Corps' concept incorporated elements of economic assistance, service opportunities for young and elderly persons, and greater American involvement in foreign nations.

While the community service aspect of the program had relatively broad appeal,

the ideas of increased foreign spending and greater U.S. involvement in the problems of third world nations were not popular with large segments of the American public. Kennedy's labeling of the program as the Peace Corps allowed him to embrace all of these values and minimize resistance by linguistically identifying it with the higher, and more encompassing, shared value of peace. Premises are, of course, not the only form of data. When the shared assumptions of speaker and audience are insufficient and need to be built upon, evidence is required. The amount and type of evidence needed depends upon the expectations of the specific field and audience. But even within those constraints, language factors can significantly affect the impact and acceptability of that evidence.

When a range of expert testimony is available, the author's language should be a fundamental consideration in deciding which source to rely on. The language used in the evidence should be free of offensive references. Currently, evidence which relies on "he" as a pronoun for persons in general may function to alienate certain audiences. In addition, the language should be appropriate to the level and background of the audience, and it should enhance the emotional and ethical appeal of the argument. Similarly, even statistical evidence is frequently difficult for audiences to comprehend so that special attention should be given to explaining and interpreting its meaning. For general audiences, the use of non-technical terminology is especially important. Whether data of fact or opinion, language functions centrally in both creating understanding of evidence for an audience and shaping audience attitudes toward that data.

#### 4. Enhancing Emotional and Ethical Force

A third condition for a linguistically sound argument is that it be expressed in language that enhances the argument's emotional and ethical force. The two preceding conditions of a good argument have generally been recognized by other authors, although they have focused less attention on the linguistic dimensions of these standards. The third condition of argument, however, has been largely overlooked as a positive element of argument. Logicians have generally viewed language as a negative factor in argument. Many of the logical fallacies, for example, are based upon language problems or upon unacceptable emotional or ethical appeals. Much of the rhetorical discussion of style has viewed it as an artistic adornment that functions to enhance effect but is largely unrelated to argument.

It is not our purpose here to disagree with specific categories of logical fallacies.

We recognize that language can be misused and that the substitution of emotion or appeals to authority for reasoned argumentation is inappropriate. Nor do we wish to devalue the artistic dimensions of rhetoric. Rather it is our position that language is not only inherent to the argument process, but that an understanding of its proper role resolves the tension between the standards of logical validity and audience effectiveness.

Alan Gross and Marcelo Dascal in their essay "The Question of the Conceptual Unity of Aristotle's *Rhetoric*" argue that in the *Rhetoric* inference (argument) is intimately related to language and style as well as to ethos and pathos. They describe Aristotle's theory of language and style in the following terms:

Though little more than a sketch, Aristotle's theory of style and arrangement is clearly cognitive in that it depends on the inferential abilities of particular audiences. Style is both a level at which discourse is pitched (in modern linguistics register) and a set of semantic, syntactic and prosodic variants within that register. In the former sense, a particular style is appropriate if it is proportional to situation and subject matter; in Aristotle's words, "the lexis will be appropriate if it is ... proportional /analogon/" (3.7.1). The mathematical analogy is exactly right; it emphasizes the close fit between a rhetorical situation and its verbal response (Gross and Dascal, 1998: 9).

In another passage, Gross and Dascal elaborate on Aristotle's theory of emotion: ....... with Aristotle's theory of emotions, a cognitive theory in which inference plays a central role ..... an audience experiences an emotional state when the necessary and sufficient conditions of that state have been met. Beliefs that speakers instill in audiences can never guarantee their anger. It certainly helps when audiences are, as Aristotle says, "irascible and easily stirred to anger" (2.2.10). Nevertheless, since the belief that one has been belittled or insulted is a necessary condition for the presence of this emotional state, speakers can stimulate anger by increasing inferential likelihood of that belief. Equally, speakers can dissipate anger by decreasing that likelihood. Inference to a articular belief or set of beliefs is a necessary condition of each emotion with which Aristotle deals – fear, shame, kindliness, pity, anger, friendship and their opposites (1998:9).

In his classic article on Aristotle's enthymeme, James McBurney makes much the same point concerning how the forms of proof in Aristotle – ethos, pathos, and logos –relate to the dominant deductive and inductive forms of argument, the enthymeme and the example.

Rather than viewing the enthymeme and example as derivative of logos alone, he depicts both forms of argument as a product of the possible interaction of ethos, pathos, and logos. Hence the appeal to emotion, the possible instrument of style, such as the metaphor, or the character of the speaker may all interrelate in the production of an enthymeme. In this sense, the distinction between between language and argument may disappear, even in Aristotle (McBurney, 1936).

Even without an elaborate analysis of the cognitive dimensions of particular figures of speech such as those found in Aristotle's *Rhetoric*, it is possible to demonstrate with references to familiar examples the evocative force that appropriate language gives to an argument. In his "House Divided" speech Lincoln used a powerful metaphor to express the fundamental claim of his speech. "A house divided against itself cannot stand." I believe this government cannot endure permanently half slave and half free. I do not expect the Union to be dissolved – I do not expect the house to fall – but I do expect will cease to be divided. It will become all one thing or all the other (Peterson, 1954:491).

Lincoln's metaphor was not a mere rhetorical flourish. It was, rather, an integral part of his proof, and functions as a good example of metaphor as enthymeme. At that point in United States history, families were literally being torn apart over the issue of slavery so that the reference to a "house divided" served both as a appropriate metaphor and as compelling evidence of the crisis facing the nation.

William Faulkner's speech accepting the Nobel Price offers a different, perhaps even more moving example, of how language enriches and empowers argument:

I decline to accept the end of man. It is easy enough to say that man is immortal simply because he will endure; that when the last ding-dong of doom has clanged and faded from the last red and dying evening, that even then there will be one more sound: that of his puny, inexhaustible voice, still talking. I refuse to accept this. I believe that man will not merely endure: he will prevail. He is immortal, not because he alone among creatures has an inexhaustible voice, but because he has a soul, a spirit capable of compassion and sacrifice and endurance. The poet's, the writer's duty is to write about these things (Faulkner, 1954: 815-16).

Faulkner's argument is a simple one, but it is the imagery, the language of his imagination which gives the argument its ethical and emotional force.

In the terminology of the ancient Greeks, logos is not necessarily separate from ethos and pathos. Through the effective use of language these three forms of proof become united to form a linguistically sound argument.

A focus on language as the primary instrument of argument suggests that three

necessary conditions exist for good argument. This paper explores the role of language in field invariant standards, how language functions in selecting and presenting data appropriate to the audience, and how language can enhance the emotional and ethical force of argument.

# REFERENCES

Balthrop, B. (1980). Argument as linguistic opportunity: A search for form and function. In: J. Rhodes and S. Newell (Eds.,) *Proceedings of Summer Conference in Argumentation*. (Pp. 184-213), Salt Lake City: SCA/AFA.

Bitzer, L. (1959). Aristotle's Enthymeme Revisited. *Quarterly Journal of Speech* 45, 399-408.

Burke, K. (1945). *A Grammar of Motives*. Berkeley: University of California Press. Farrell, J. B. (1977). Validity and rationality: The rhetorical constituents of argumentative form. *Journal of the American Forensic Association* 13, 142-149.

Faulkner, W. (1954). Accepting the Nobel Prize. In: H. Peterson (Ed.). *A Treasury of the World's Great Speeches*. (Pp. 814-816), New York: Simon and Schuster.

Gross, A. G. And M. Dascal. *The Question of Conceptual Unity of Aristotle's Rhetoric*. Colloquium, Wayne State University, Detroit, January, 1998.

Lincoln, A. (1954). House divided against itself cannot stand. In: H. Peterson (Ed). *A Treasury of the World's Great Speeches* (pp. 491-97), New York: Simon & Schuster.

McBurney, J. (1936). The Place of the Enthymeme in Rhetorical Theory. *Speech Monographs* 3, 49-74.

McKerrow, R.E. (1977). Rhetorical validity: An analysis of three perspectives on the justification of rhetorical argument. *Journal of the American Forensic Association* 13, 133-141.

Perelman C. And L. Olbrechts-Tyteca. (1969). *New Rhetoric: A Treatise on Argumentation*. Notre Dame, Indiana: University of Notre Dame Press.

Toulmin, S. E. (1958). *The Uses of Argument*. Cambridge: Cambridge University Press.

Zarefsky, D. (1981). "Reasonableness" in public policy argument: Fields as institutions. In: G. Ziegelmueller and J. Rhodes (eds.), *Dimensions of Argument* (pp. 88-97). Annandale, VA: Speech Communication Association.